(Rel.99-8/04 Pub.605)

FORM 6-3

6-41



Practitioner's Docket No.

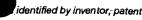
IIIL OIIIILD SIAILS	PATENT AND TRADEMARK OFFICE
Patent application	
of	
	Inventor(s)
for	TALL OF THE STATE
i	itle of invention
	OR
In re application of: Gienic, et a	1.
Application No.: 0 10/537,530	Group Art Unit:
Filed: June 3, 2005	Examiner:
MOLDS AND FEEDERS FOR III	PED BODIES, PARTICULARLY CORES, SE IN FOUNDRY PRACTICE
Mail Stop Amendment	
Commissioner for Patents	
P.O. Box 1450 Alexandria, VA 22313-1450	
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WITHIN THREE	MATION DISCLOSURE STATEMENT MONTHS OF FILING OR F OFFICE ACTION (37 C.F.R. § 1.97(b))
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(type or print name of person certifying)

\* Only the date of filing (\$ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action [6-3]-page 1 of 3) n U.S. patent listed in an information disclosure statement m

er,\_and\_issua-date.-



- (2) Each U.S. patent application publication listed in an information disclosure statament shall be identified by applicant, patent application publication number, and publication date.
- (3) Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date.
- (4) Each foreign patent or published foreign patent application listed in an information disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patant or published application.
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WARNING: No extension of time can be had under 37 C.F.R. § 1.136 (a) or (b) for filing an IDS. 37 C.F.R. § 1.97(f).

NOTE: The "filing date of a national application" undar 37 C.F.R. § 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark Office, tha filing is defined in 37 C.F.R. § 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or invantors as required by § 1.41." 37 C.F.R. § 1.97(b)(1). On the other hand, an international application that entars the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the basic national fee; (2) a copy of the international polication, unless already sent by the International Bureau, and optionally an English translation If filed in another language; and, also optionally (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary exemination report, if such annexes were made in another language. The optional items must be submitted later, with surcharges. 37 C.F.R. § 1.97(b)(2).

# IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith Is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

- NOTE: "No certification or fee is due when the filing is made within the above tima period. It is advisable to ensure that no Office action has bean mailed if the disclosure statement is delayed until efter three months from filing."
- NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 C.F.R. 1.8, or Express Mail certificate under 37 C.F.R. 1.10. An Office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39). See also § 609, M.P.E.P., 8th Edition.
- NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuationsin-part) so three-months will be measured from the actual filing data of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office
Action [6-3]—page 2 of 3)

NOTE: "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. § 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63). But see § 103(b) and (c), limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d) and in a request for continued examination (RCE) under § 1.114.

Reg. No.: 31,945

Tel. No.: (502) 589-4215

Customer No.:

SIGNATURE OF PRACTITIONER

Scott R. Cox

(type or print name of practitioner)

500 W. Jefferson St., Suite 2100

P.O. Address

Louisville, KY 40202

(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office
Action [6-3]—page 3 of 3)

IN THE UNITED STATES BYTENT AND TRADEMARK OFFICE

In re application of:

Gienic, et al.

Art Unit:

Serial No.: 10/537,530

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Filing Date: June 3, 2005

te: Julie 3, 2005

Attorney Docket No.: P-1257

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For: METHOD OF PRODUCING SHAPED BODIES, PARTICULARLY CORES,

MOLDS AND FEEDERS FOR USE

IN FOUNDRY PRACTICE

Examiner:

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR \$1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR \$1.97(b), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR \$1.56(a) exists. This information is submitted in compliance with 37 CFR \$1.98.

### References:

US	3 <b>,</b> 409 <b>,</b> 579	
US	4,311,815	(Equivalent of EP 0022215)
US	5,043,365	(Equivalent of EP 0362486)
US	5,632,326	(Equivalent of EP 0695229 and EP 0934785)
US	6,214,265	(Equivalent of WO 00/036019)
US	2001/0017427	(Equivalent of WO 00/036019)
WO	97/35677	(Replaces EP 0 188 199)

Respectfully submitted,

Scott R. Cox

Reg. No. 31,945

LYNCH, COX, GILMAN & MAHAN, P.S.C. 500 W. Jefferson St., Suite 2100

Louisville, Kentucky 40202

#### CERTIFICATE OF SERVICE

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date: June 27, 2005

Attachments

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PTO/SB/08A (08-03) e through 07/31/2006. OMB 0651-0031 U.S. Patent end Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Application Number	10/537,530	
Filing Date	June 3, 2005	
First Named Inventor	Gienic	
Art Unit		
Examiner Nama		

Attorney Docket Number P-1257

			U. S. PATENT	F DOCUMENTS	
Examiner initiais*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Dete MM-DD-YYYY	Name of Petentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appeer
	1	<sup>US-</sup> 3,409,579	11-05-1968	Robins	
		<sup>US-</sup> 4,311,815	01-19-1982	Heine	
		<sup>US-</sup> 5,043,365	08-27-1991	Gardziella	
		<sup>US-</sup> 5,632,326	05-27-1997	Gough	
		<sup>US-</sup> 6,214,265	04-10-2001	Rosthauser	
		US-			
		<sup>US-</sup> 2001/0017427	08-30-2001	Rosthauser	
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Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicent of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>6</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
	WO 97/35677	10-02-1997	Menon		
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		No. <sup>1</sup> Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>6</sup> (if known)	No.¹ Date MM-DD-YYYY  Country Code³ Number⁴ 'Kind Code⁵ (if known)	No.1 Date MM-DD-YYYY Country Code <sup>3</sup> Number <sup>4</sup> "Kind Code <sup>5</sup> (if known) Date MM-DD-YYYY	No.¹ Date MM-DD-YYYY Applicent of Cited Document Where Relevant Passages Or Relevant Figures Appear

	·		
Examiner		Date	
Signature		Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance end not considered, include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WiPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the seriel number of the patent document. <sup>5</sup>Kind of document by the eppropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) en epplication. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the individual cese. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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